

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Signature of Person Mailing Paper or Fee

Applicants have also enclosed a Preliminary Amendment adding new claims 2-58, substituting new FIGS. 1-3 and requesting that FIG. 4 be added to the patent application pending grant of the petitions to the Commissioner filed herewith. With the Preliminary Amendment, applicants have enclosed substitute FIGS. 1-3, and FIG. 4.

Serial No. 09/780,946

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Further enclosed is a Petition under 37 CFR 1.181 requesting the addition of FIG. 4 to the instant application. Enclosed with the Petition under 37 CFR 1.181 are a copy of FIG. 4 as originally filed with the nonprovisional application papers, a copy of FIG. 4 believed to meet the standards for drawings set forth in 37 CFR 1.84, a copy of the Formalities Letter identified above, a copy of the return receipt postcard forming an accompanying part of the nonprovisional application papers, and a Declaration of Vida T. Kampstra.

Further enclosed is a check to cover the basic filing fee (\$740.00), extra claim fee (\$825.00), fee for extension for reply within fifth month (\$1,960.00), surcharge fee for this filing (\$130.00), and fee for petitions to the commissioner (\$260.00). Any additional fee or credit can be applied to Deposit Account No. 50-1039 of the firm of the undersigned which is identified below.

Respectfully submitted,

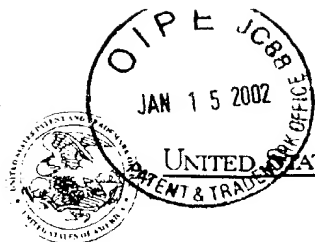
COOK, ALEX, MCFARRON, MANZO,  
CUMMINGS & MEHLER, LTD.

Michael J. McGee  
Registration No. 43,789

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Dated: October 19, 2001

09/780.946 02/09/2001 987P011



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20503  
 www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/780.946	02/09/2001		987P011

CONFIRMATION NO. 1707

## FORMALITIES LETTER



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 COOK, ALEX, MCFARRON, MANZO, CUMMINGS & MEHLER LTD  
 SUITE 2850  
 200 WEST ADAMS STREET  
 CHICAGO, IL 60606

Date Mailed: 03/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

The following item(s) appear to have been omitted from the application:

- Figure(s) 4 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.


II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b)**. In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

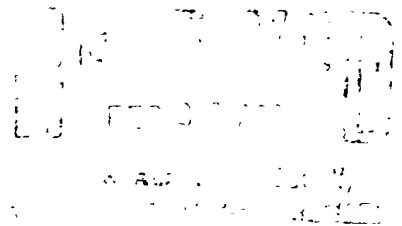
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Vida  
OK  
Mike



09/780946



THE U.S. PATENT & TRADEMARK OFFICE  
OFFICIAL MAILROOM STAMP AFFIXED  
HERETO, ACKNOWLEDGES RECEIPT OF PTO  
09/780946

ENCLOSURES:

- (✓) Application
- (✓) Specification 28 pages
- (✓) Claims 1 pages
- (✓) Abstract 1 page(s)
- (✓) Drawing Sheets no. 4 (F) (INF) X
- (✓) Transmittal Letter
- ( ) Check \$ \_\_\_\_\_ No: \_\_\_\_\_
- ( ) Declaration/Oath
- ( ) Assignment and Cover Sheet
- ( ) Information Disclosure Statement
- ( ) Response/Amendment
- ( ) Extension of Time (In Duplicate)
- ( ) Small Entity Status
- ( ) Copy of Priority Document
- (✓) Certificate of Mailing by Express Mail

RE: APPLICATION

ATTY/SEC: H. H. H. H.

File no: 9878011

Applicant: Qualicom

S.N.: \_\_\_\_\_ Filing Date: 2-9-01

Title: System and Method for  
permitting...

Due date: \_\_\_\_\_ Date Sent: 2-9-01

